

# CITS5501 Software Testing and Quality Assurance

## Input Space Partition Testing, continued

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## Relationship to other techniques

ISP subsumes several other techniques you might see mentioned in textbooks or online:

- ▶ equivalence partitioning
- ▶ boundary value analysis
- ▶ domain testing

These techniques are collectively referred to as “partition testing”.

## Relationship to other techniques

ISP ignores a distinction you might see made between what is called “white box testing” and “black box testing” – more on this later.



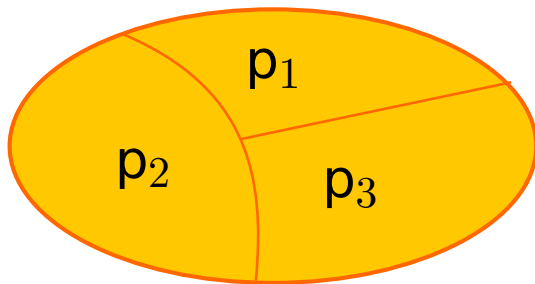






# Partitions

- ▶ Informally:  
partitions are a collection of disjoint sets of some domain  $D$  which *cover* the domain.
- ▶ They are pairwise disjoint (i.e. none overlap each other)



# Partitions

Is the following a valid partitioning of the integers?

- ▶  $p_1 = \{ \text{numbers} < 0 \}$
- ▶  $p_2 = \{ \text{numbers} > 0 \}$





# Partitions

Is the following a valid partitioning of the integers?

- ▶  $p_1 = \{ \text{numbers} \leq 0 \}$
- ▶  $p_2 = \{ \text{numbers} \geq 0 \}$

It is not – the sets  $p_1$  and  $p_2$  overlap (they both include 0) – so they are not *disjoint*, and can't be valid partitions.





# Partitions

Is the following a valid partitioning of the integers?

- ▶  $p_1 = \{ \text{numbers} < 0 \}$
- ▶  $p_2 = \{ 0 \}$
- ▶  $p_3 = \{ \text{numbers} > 0 \}$

It is – the sets  $p_1$ ,  $p_2$  and  $p_3$  cover the domain (nothing is left out), and none of them overlap each other.



# Partitions

Suppose we have some parameter `Integer n` that we're trying to partition.

We divide the domain of `n` up into positive numbers, negative numbers, and `0`. Is that a partition?

It is not. `Integer` is what's called a *reference type* in Java. Whereas an `int` represents a concrete 4 bytes of memory, an `Integer` is a “pointer” to some bytes of memory residing . . . “elsewhere”.  
(Technically, on the *heap*.)

It can be positive, negative, or zero, but it can also take on the value `null`.

# Partitions

- ▶  $p_1 = \{ \text{null} \}$
- ▶  $p_2 = \text{not null}$ ; the union of
  - ▶  $r_1 = \{ \text{numbers} < 0 \}$
  - ▶  $r_2 = \{ 0 \}$
  - ▶  $r_3 = \{ \text{numbers} > 0 \}$

Do we need to remember to include the possibility of **null** values when testing Java systems?



# nulls – the usual case

If that's the case:

- ▶ we *don't* bother mentioning this in the method documentation – it's taken as read that **nulls** are invalid
- ▶ we *don't* bother testing this – why would we bother? We wouldn't be testing *our* software, we'd be effectively testing the JVM's ability to detect nulls and throw exceptions. And it's unlikely we have time for that.
- ▶ you *shouldn't*, if asked to come up with a useful test case, or a characteristic for partitioning, mention “null-ness” and expect to get marks for it. We will not be impressed.
  - ▶ You may wish to mention it *for completeness* – to cover all possibilities. But on its own, we won't consider it a useful partitioning or characteristic.









# Characteristics

```
/** return true if elem is  
 * in list, otherwise return false.  
 */  
public static boolean findElement (List<Integer> list, Integer elem)
```

What about the our `findElement` method?  
(Both its arguments *could* be `null` – but we'll ignore that.)

What are some properties of *lists* that we could partition on?

# Characteristics

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What are some properties of *lists* that we could partition on?

Some possible characteristics:

- ▶ “is empty” – not the same as nullness! We can have a list that is not null (it has been properly created), but no elements have been added to it yet.
- ▶ “contains the element `elem`” – this actually is a characteristic of *both* parameters in combination – that’s okay, it’s allowed.
- ▶ “contains the element `elem` more than once” – this divides the domain into “lists containing `elem` at least twice” and “lists containing `elem` 0 or 1 times”.

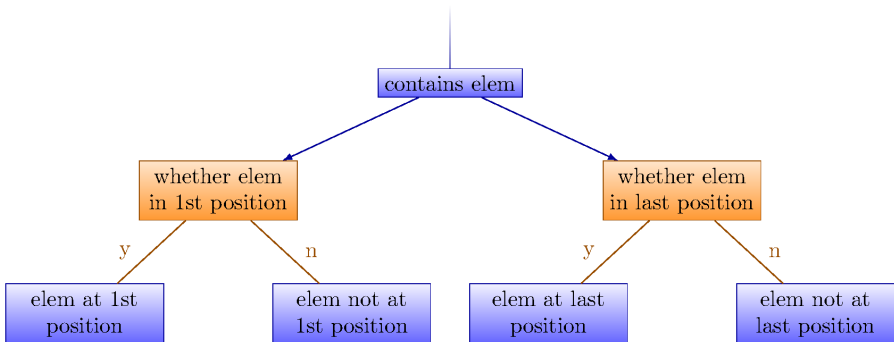
# More characteristics

```
/** return true if elem is  
 * in list, otherwise return false.  
 */  
public static boolean findElement (List<Integer> list, Integer elem)
```

- ▶ We might consider the partition of “lists that contain the element `elem`, and decided to *sub*-partition it.
- ▶ We could use as a characteristic: “contains the element `elem`, as the first element of `list`”.
- ▶ In fact while we’re at it, we might as well add as a characteristic: “contains the element `elem`, as the last element of `list`”.
- ▶ What would’ve made us come up with those two characteristics? The fact that we know programmers tend to make errors around *boundaries*, and the first and last positions form the boundaries of the set of valid positions.



# Our characteristics







# Bad characteristics

- ▶ Choosing (or defining) partitions seems easy, but is easy to get wrong
- ▶ Suppose we have some program which sorts items in a file  $F$
- ▶ We might pick as a characteristic of  $F$ , “the ordering of the file”, and partition it into three partitions:  
  
p1 = sorted in ascending order  
p2 = sorted in descending order  
p3 = arbitrary order

## Bad characteristics

- ▶ But is this really a partitioning?

What if the file is of length 1?

The file will be in all three blocks ...

That is, disjointness is not satisfied

# Bad characteristics

Solution:

Each characteristic should address just one property

- ▶ File F sorted ascending
  - ▶ b1 = true
  - ▶ b2 = false
- ▶ File F sorted descending
  - ▶ b1 = true
  - ▶ b2 = false

In general, it's better to have *many* characteristics, each of which partitions its domain into just a few partitions, than to try and have only a few large and complex characteristics.

# Bad characteristics

If we decide we've come up with more characteristics than we want – then we can always ignore a few.

But complex characteristics lead more easily to mistakes, and it is harder to spot and fix those.

# Properties of Partitions

- ▶ If the partitions are not complete or disjoint, that means the partitions have not been considered carefully enough
- ▶ They should be reviewed carefully, like any design attempt
- ▶ Different alternatives should be considered

# ISP review

# Review of steps

Let's review the steps in applying the ISP technique:

- ▶ Identifying testable functions
- ▶ For each function, find all the parameters
- ▶ Model the input domain in terms of *characteristics*
- ▶ Choose particular partitions, and values from within those partitions
- ▶ Refine into test values

We'll now look at these in a bit more detail.





## Step 2 – Find all the parameters

- ▶ Often fairly straightforward
- ▶ Important to be complete, though

Applied to different levels:

- ▶ Methods: Actual method parameters, plus *state* used
  - ▶ *state* includes: state of the current object; global variables; files etc. read from
- ▶ Components: Parameters to methods, plus relevant state
- ▶ System: All inputs, including files and databases

## Step 3 – Model the input domain

- ▶ We need to characterise the input domain, and divide it into partitions –  
where each partition represents a set of values
- ▶ This is a creative design step – different test designers might come up with different ways of modelling the input domain
- ▶ ... and there's not really a mechanical way of checking whether a modelling is “correct” – needs human review.

## Step 4 – Choose combinations of values

- ▶ So, we've come up with our characteristics and partitions
- ▶ These help us divide up the entire input domain (usually of enormous size) into a much smaller and more tractable set of partitions.
- ▶ Can we now simply take all (feasible) combinations of partitions, and write tests?
- ▶ Usually not – there'll often be too many partitions to try all combinations.
- ▶ *Coverage criteria* are criteria for choosing *subsets* of combinations (more later)

## Step 5 – refine combinations into test inputs

- ▶ ... At the end of this step, we have actual test cases.

# Input domain modeling

# Approaches to Input Domain Modeling

So we said that in step 3, we model the input domain – characterise it and divide it into partitions.

We've done that so far by staring at a method specification and hoping for inspiration.

If we want to try something more principled, there are two general approaches we can take.

# Two approaches

## 1. Interface-based approach

- ▶ Develops characteristics directly from individual input parameters
- ▶ Simplest application
- ▶ Can be partially automated in some situations

## 2. Functionality-based approach

- ▶ Develops characteristics from a behavioral view of the program under test
- ▶ Harder to develop – requires more design effort
- ▶ May result in better tests, or fewer tests that are as effective

# Interface-Based Approach

- ▶ Mechanically consider each parameter in isolation
- ▶ This is an easy modeling technique and relies mostly on syntax
- ▶ Some domain and semantic information won't be used
  - ▶ Could lead to an incomplete IDM
- ▶ Ignores relationships among parameters
  - ▶ It wouldn't come up with the "is the element in the list?" characteristic we saw for  
`findElement (List<Integer> list, Integer elem)`



# Functionality-Based Approach

- ▶ Identify characteristics that correspond to the intended functionality
- ▶ Requires more design effort from tester
- ▶ Can incorporate domain and semantic knowledge
- ▶ Can use relationships among parameters
- ▶ Modeling can be based on requirements, not implementation
- ▶ The same parameter may appear in multiple characteristics, so it's harder to translate values to test cases

# Characteristics

- ▶ Candidates for characteristics :
  - ▶ Preconditions and postconditions
  - ▶ Relationships among variables
  - ▶ Relationship of variables with special values (zero, null, blank, ...)
- ▶ Better to have more characteristics with few partitions

# Interface vs Functionality-Based modelling

```

/** return true if elem is
 * in list, otherwise return false.
 */
public static boolean findElement (List<Integer> list, Integer elem)

```

Interface-Based Approach:

- ▶ Two parameters : list, element
- ▶ Characteristics:
  - list is null (block1 = true, block2 = false)
  - list is empty (block1 = true, block2 = false)

# Interface vs Functionality-Based modelling

```
/** return true if elem is  
 * in list, otherwise return false.  
 */  
public static boolean findElement (List<Integer> list, Integer elem)
```

## Functionality-Based Approach:

- ▶ Two parameters : list, element
- ▶ Characteristics:
  - number of occurrences of element in list  
(0, 1, >1)
  - element occurs first in list  
(true, false)
  - element occurs last in list  
(true, false)

# Strategies for modelling

Recall that once we have *partitions*, we'll want to choose particular values from within those partitions.

- ▶ Include valid, invalid and special values
- ▶ Sub-partition some blocks
- ▶ Explore boundaries of domains
- ▶ If a value is of an *enumerated type*, can draw from each possible value
- ▶ Include values that represent “normal use”
- ▶ Try to balance the number of blocks in each characteristic
- ▶ Check for completeness and disjointness

# Interface-Based IDM example – triType

Suppose we have a method

`String triType(int l1, int l2, int l3)` that takes in the lengths of three sides of a triangle, and returns a string telling us what sort it is.

Possible outputs are:

- ▶ “invalid” – not a triangle. E.g. (1, 1, 5), (-5, 3, 4).
- ▶ “equilateral” – all sides are the same
- ▶ “isosceles” – not equilateral and not invalid, and two sides are the same
- ▶ “scalene” – everything else

# Interface-Based IDM example – triType

How might we categorize the inputs?

(Applying just the simple interface-based approach.)

Characteristic	$l_1$	$l_2$	$l_3$
q1 = "Rel. of side 1 to 0"	greater than 0	equal to 0	less than 0
q2 = "Rel. of side 2 to 0"	greater than 0	equal to 0	less than 0
q3 = "Rel. of side 3 to 0"	greater than 0	equal to 0	less than 0

- ▶ A maximum of  $3 \times 3 \times 3 = 27$  tests
- ▶ Some triangles are valid, some are invalid
- ▶ Refining the characterization can lead to more tests ...

# Functionality-Based IDM – TriTyp

- ▶ So this is the *interface* based approach – just looks at parameters and types
- ▶ A semantic level characterization could use the fact that the three integers represent a triangle
  - ▶ The combination of parameters (1, 1, 2) represents exactly the same triangle as (1, 2, 1) and (2, 1, 1).
  - ▶ (For the math-inclined – we’re looking for, and finding ways to ignore, *symmetries* in the input domain)

Characteristic	p <sub>1</sub>	p <sub>2</sub>	p <sub>3</sub>	p <sub>4</sub>
q1 = “Geometric Classification”	scalene	isosceles, not equilateral	equilateral	invalid



# Using more than one modelling

- ▶ Some programs may have dozens or even hundreds of parameters
- ▶ Create several small IDMs
  - ▶ A divide-and-conquer approach
- ▶ Different parts of the software can be tested with different amounts of rigor
  - ▶ For example, some IDMs may include a lot of invalid values
- ▶ It is okay if the different IDMs overlap
  - ▶ The same variable may appear in more than one IDM

## Step 4 – Choosing Combinations of Values

- ▶ Once characteristics and partitions are defined, the next step is to choose test values
  - ▶ We use criteria – to choose effective subsets
  - ▶ An obvious criterion is to choose all combinations . . .
- All Combinations (ACoC): All combinations of blocks from all characteristics must be used.
- ▶ Number of tests is the product of the number of blocks in each
    - ▶ This will often be far too large – we will look at ways of using fewer.

# Test criteria



# When to stop testing

How do we know when we have tested enough? When should we stop testing? How many tests do we need?

Some possibilities:

- ▶ When all faults have been removed
- ▶ When we run out of time































## Java test coverage example, cont'd

- ▶ Generate a report from the XML file

```
$ java -jar jcov.jar RepGen result.xml
```









## Limits of code coverage tools

- ▶ Code coverage tools give us measures of coverage based on *source code*.
- ▶ But sometimes our tests aren't based on source code as a model
- ▶ For instance, we might be writing tests based on a state chart or activity diagram of the system.
- ▶ And Input Space Partitioning isn't based on *source code*, exactly – it's based on *specifications* for some view of the system (or a part of it) as a *function*. Knowing how many functions or methods were executed as a result of our ISP-based tests isn't a great measure of what degree of coverage the tests provide of the input domain.













# ISP criteria – functionality-based approach

▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

# ISP criteria – functionality-based approach

- ▶ One attempt:

Partition the input domain using a geometric classification: do the parameters represent a triangle which is

- ▶ scalene



































