

# CITS5501 Software Testing and Quality Assurance

## Model-based testing

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## Models and approaches

# Approaches to testing

For most software components (and other artifacts, such as machinery, etc.), it's possible to consider them in two ways, when testing:

- ▶ knowing *nothing* about the internal workings of the component, we can focus on its intended functionality, and conduct tests that demonstrate each aspect of the functionality, and attempt to uncover any errors.
  - ▶ This approach is called “black-box” testing
- ▶ knowing the internal workings of the components, we can write tests that try to check the internal operations are correctly performed, and that all internal components have been adequately exercised.
  - ▶ This approach is called “white-box” testing

In reality, many testing approaches make use of aspects of both.

# Approaches to testing

- ▶ Example of “black-box” testing:
  - ▶ The sorts of unit tests we have seen so far: they are derived from the *specifications* for methods, and treat the method as a “black box” that takes in input and produces output, without considering how it does it.
- ▶ Example of “white-box” testing:
  - ▶ Looking at the source code for a method, and ensuring that *paths* of execution through the method have been adequately tested.

# Black-box testing

Signature of method, plus specification using Javadoc:

```
1  /** Remove/collapse multiple spaces.
2  *
3  * @param String string to remove multiple spaces from.
4  * @return String */
5  public static String collapseSpaces(String argStr)
```

# Black-box testing

- ▶ Specifications need not be for *methods*, they can be for software components, or hardware, or whole systems

# White-box testing

A Java method for collapsing sequences of blanks, taken from the `StringUtils` class of Apache Velocity (<http://velocity.apache.org/>), version 1.3.1.

```
1  /** Remove/collapse multiple spaces.
2  *
3  * @param String string to remove multiple spaces from.
4  * @return String */
5  public static String collapseSpaces(String argStr) {
6      char last = argStr.charAt(0);
7      StringBuffer argBuf = new StringBuffer();
8      for (int cIdx = 0 ; cIdx < argStr.length(); cIdx++) {
9          char ch = argStr.charAt(cIdx);
10         if (ch != ' ' || last != ' ') {
11             argBuf.append(ch);
12             last = ch;
13         }
14     }
15     return argBuf.toString();
16 }
```

# Control-flow testing outline

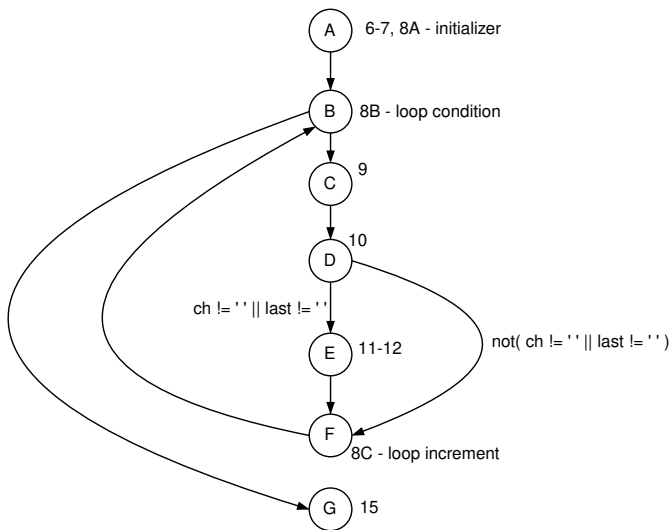
1. Use the source code (or pseudocode) to produce a control flow graph.
2. Using the graph produce a set of tests for the given program.



## Constructing the graph

- ▶ In a control flow graph, nodes represent points in the program control flow can go “from” or “to”
- ▶ Loops, thrown exceptions and gotos (in languages that have them) are locations control flow can go *from* – statements representing these spots are “sources”
- ▶ Locations control flow can go *to* are “sinks”

# Constructing the graph



# Black-box techniques

- ▶ When we design tests based on the interface – “black-box” testing – we normally work off the *specification* for the item, we don’t care about the details of the implementation
- ▶ Input space partition testing (this lecture). We don’t need to look at the code *within* an item being tested – we just consider its parameters or inputs.

## Other black-box techniques

- ▶ In the Pressman textbook you'll see mention of other black box techniques, e.g. “boundary value analysis”
  - ▶ i.e., include tests which have inputs at the “boundaries” of ranges of values
  - ▶ this helps detect, for instance “off-by-one” and “fencepost” errors
- ▶ Boundary value analysis is actually incorporated into the ISP testing procedure covered in this lecture
  - ▶ when “modeling the input domain”, we identify valid values, invalid, boundaries, “normal use”, and so on

# Benefits of black box testing

- ▶ Helps find
  - ▶ functionality that is specified but not implemented
  - ▶ functionality that is implemented but incorrect

# White-box testing

- ▶ We can also design tests by looking at the *internal* details of an item to be tested – “white-box” or “clear-box” testing.
- ▶ This is also sometimes called *structural testing*, since it looks at the internal structure of an item to be tested
- ▶ Here, we do care about the implementation

# White-box testing – examples

- ▶ As part of white box testing, we might try to ensure that
  - ▶ all internal data structures have been checked
  - ▶ all loops have been checked
  - ▶ where there is some sort of branching statement (if-else, case, etc.), all the possible branches have been tested
  - ▶ ... and so on.

# Why perform white-box testing

- ▶ Why perform white-box testing?
  - ▶ Isn't black box enough? – after all, it tests the functionality



## Why perform white-box testing (2)

- ▶ What if we've failed to identify some particular scenario (set of inputs) in black box testing, and not written a test for it?
  - ▶ It can be difficult to think of unusual inputs/scenarios
- ▶ What if the environment, or some other part of the system, changes?
  - ▶ code that was previously “dead code”, and never executed, might now become “live” – and may contain errors
- ▶ Some sorts of errors (e.g. typos) are as likely to occur on unusual or uncommon paths of execution, as on anywhere else.
  - ▶ White box testing helps ensure we've considered those paths.

## Why perform white-box testing (3)

- ▶ One question that is often asked is “Do we have enough tests?”
- ▶ White box testing may not answer that question – but it can identify parts of a system that *haven't* been tested.

# Types of white-box testing

- ▶ In practice and in the literature, many different techniques are identified:
  - ▶ branch/decision testing
    - ▶ have all branches in decisions been exercised?
    - ▶ have all parts of boolean expressions been exercised?
  - ▶ control flow testing
    - ▶ uses a program's control flow graph as a model
  - ▶ data flow testing
    - ▶ flow of data between variables – are there variables that are declared but not used, or vice versa? Declared multiply? Not initialized before use? Deallocated before use? Used before being validated?
  - ▶ statement coverage
    - ▶ is every statement executed at least once?
  - ▶ modified condition/decision coverage (used in avionics)
  - ▶ path testing
  - ▶ prime path testing

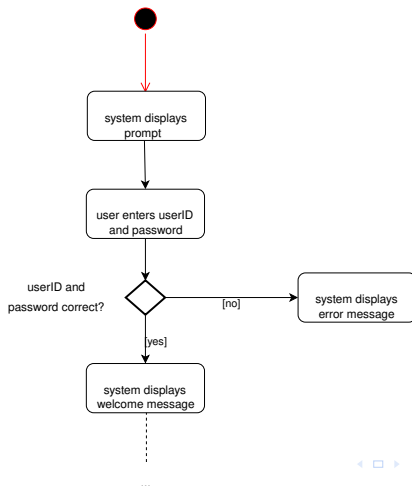
## Alternative view – model-based testing

When doing white-box testing of the `collapseSpaces` function, we look at the control-flow *graph* for the function, and try to ensure our tests adequately exercise paths through the graph (called checking the *test coverage* of the graph).

But there are many other sorts of “graphs” we might want to check for test coverage, and not all are “internal”, “white-box” views of something.

# Activity diagrams

For instance, *activity diagrams* are way of modelling a user's interactions with a system.



# Activity diagrams

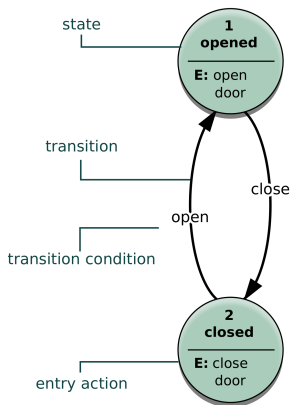
These too form a sort of graph, and we can ask whether our tests have exercised paths through the graph sufficiently.

Activity diagrams don't look at "source code" or the "inside" of a system – they consider the "outside" (a user's interaction with the system).

So they are a sort of "black-box" testing, yet the same methods we use for control-flow analysis – a form of "white-box" testing – are applicable.

# State diagrams

*State diagrams* show states something can be in, and transitions between them.<sup>1</sup>



<sup>1</sup>Courtesy Wikipedia, [https://en.wikipedia.org/wiki/State\\_diagram](https://en.wikipedia.org/wiki/State_diagram).

# State diagrams

A state diagram also is a kind of graph, so we can look at whether our tests have exercised paths through it sufficiently.

Is it “black-box” or “white-box” testing?



## Alternative view – model-based testing

Rather than classifying something as being “black-box” or “white-box” testing, a more useful approach is to consider various *models* of a software system, and ask “What sort of model is this? And what sort of testing techniques can be applied?”

## Model-based testing – functions

- ▶ As we've seen – if we can treat the model as a *function* from inputs to outputs – then we can apply *input-space partitioning* to it.
  - ▶ Example: Unit tests based on Javadoc specification
  - ▶ Example: System testing based on specifications

# Model-based testing – graphs

- ▶ If we can treat the model as a *graph* – a network of nodes – then we can apply *graph-based* techniques to it.
  - ▶ Example: Control flow analysis

## Model-based testing – logic

If particular parts of the system make “choices” based on combinations of logical conditions, we can apply *logic-based* techniques to it.

- ▶ Example: Avionics systems are required to have a particular level of coverage of logic expressions
- ▶ Sample specification for a system [from Ammann]:

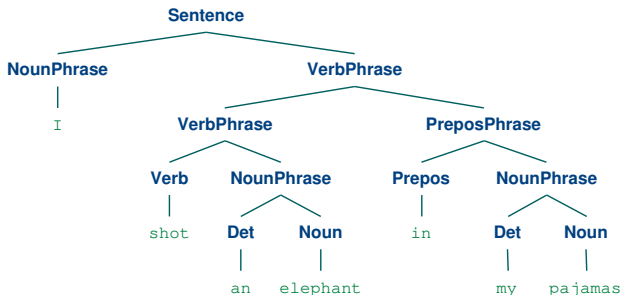
*If the moon is full and the sky is clear, release the monster.*  
*If the sky is clear and the wind is calm, release the monster.*

## Model-based testing – logic vs graphs

- ▶ Graph-based techniques look at what *edges* we traverse between nodes, they don't look "inside" the nodes –
- ▶ For any "decision node", however complex, graph-based techniques only consider "Which edge do we take out of the node?"
- ▶ By contrast, logic-based testing looks "inside" the parts of boolean expressions making up a "decision point", and asks whether we've tested those parts sufficiently thoroughly.

## Model-based testing – syntax

- ▶ If the model can be treated as having a “syntax” (a sort of tree-like, potentially recursive structure), then we can apply *syntax-based* techniques to it.
- ▶ One example of things with “syntax” is, unsurprisingly, natural language sentences:<sup>2</sup>



<sup>2</sup>Diagram adapted from Bird *et al* (2009). Dialogue from “Animal Crackers” (1930, dir. V. Heerman).

## Model-based testing – syntax

But other things that can be modelled as having a syntax are things like Java source code (a text format), or binary file formats (such as PNG graphics files or executable files).

# Model-based testing

Our “models” don’t have to be models of source code – they can be models of, say, database structure, or user interaction with a system, or class hierarchies, or any other way we find it useful to consider our system (or some part of it).